Mail Stop: Patent Application

Commissioner of Patents

United States Patent and Trademark Office

Alexandria, VA 22313-1450

Re: U.S. Utility Patent Application

Application No. TBD; Filed 2-24-2004

For: "Reconfigurable Optical Add-Drop Multiplexers Employing Optical Multiplex

Section Shared Protection" Inventor: Mark J. Soulliere Docket No.: <u>AVA-P040</u>

INFORMATION DISCLOSURE STATEMENT

Sir:

The following documents are forwarded herewith for action by the U.S. Patent and Trademark Office:

- 1. Information Disclosure Statement and;
- 2. Information Disclosure Form PTO 1449 (with <u>7</u> references), and;
- 3. Return postcard

It is respectfully requested that the attached postcard be <u>stamped with the receipt date</u> of the above documents and returned to the addressee as soon as possible.

Respectfully submitted,

xebrual 24,2004

Date

PETER C. SU Reg. No. 43,939

LAW OFFICES OF PETER C. SU INTELLECTUAL PROPERTY ATTORNEY 5201 GREAT AMERICA PARKWAY, SUITE 320 SANTA CLARA, CA 95054

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37 CFR 1.501 INFORMATION DISCLOSURE CITATION IN A PATENT (Sheet 1 of 1)				Applicant: Mark J. Soulliere Issue Date: Not Yet Known			Patent Number: Not Yet Known	
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							Art Unit: Not Yet Known	
		U.S.	PATENT D	OCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	US Patent 6,414,765	July 2, 2002	Li et c	11.				
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		FOREIG	N PATENT	DOCUMENT	rs .			
	DOCUMENT NUMBER	DATE	C	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
·							YES	NO
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	OTHER DOCUMEN							
	M.J. Li et al, "Transparent two-fiber optical channel shared protection ring," Proc. of NFOEC 2001, pp. 419-430, Baltimore MD, 2001.							
	Mark J. Soulliere, Corning Incorporated, "Transparent Optical Channel shared protection ring," ANSI T1X1.5 standards contribution T1X1.5/2001-027, January 2001.							
	M.J. Li et al, "Two-fiber optical channel shared protection ring with 4x4 thermal-optic switches," Proc. of OFC 2001, paper Tu07-1. Ming-Jun Li, Corning Incorporated, "Feasibility study of transparent optical channel shared protection							
	ring," ANSI TIX1.5 stand	ards contrib	oution T1X1	.5/2001-176, S	eptember 200	١.		
	I. Tomkos et al, "Transparent ultra-long-haul optical networks enabled by broadcast and select OADMs," Proc. of SPIE, Vol. 4907, pp. 93-99, October 2002. I. Tomkos et al, "Transparent ultra-long-haul optical networks enabled by broadcast and select OADMs,"							
	I. Tomkos et al, "Transpo Proc. of SPIE, Vol. 4907,	rent ultra-la pp. 93-99, C	ong-haul o _l October 20	ptical networl 02.	ks enabled by	broadcast and	d select O	ADMs,''
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7.								
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EXAMINER				DATE CON	SIDERED			

This collection of information is required by 37 CFR 1.501. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.